

Estate Valuations & Pricing Systems

Data Privacy Statement

EVP Office

Estate Valuations & Pricing Systems, Inc. does not collect, transmit, or store client-proprietary, Personally Identifiable Information (PII) or Sensitive Personal Information (SPI) about decedents / grantors via any of the applications in EVP Office, including EstateVal.

Although client-proprietary, PII, or SPI may be entered into the software when a portfolio is created, that data remains entirely local at the user's site. EstateVal and the other applications in EVP Office only transmit the security identifiers (CUSIPs, SEDOLs, ticker symbols, etc.), the valuation date (Date of Death, Alternate Date, etc.), and the requested report type to EVP Systems' servers. None of the decedent's personal information (name, account number, title, lot size, transaction details, filename, notes, etc.) is sent to or stored by Estate Valuations & Pricing Systems.

Information about the user running the software is collected for each evaluation, for billing and auditing purposes, including the EVP Systems account code, the version of EVP Office, the version of Windows, the serial number of the computer, the EVP Administrator username (if used), and the Windows username of the logged-in user. The applications also have a "passthrough" function that allows users to enter identifying information to be returned on their invoice, to more easily associate a specific evaluation with an estate, though its use is optional and non-identifiable information can be used.

EVP Everywhere

EVP Everywhere, EVP Systems' evaluation webservice, has the ability to record and store information about the decedent or estate being evaluated, though as with the "passthrough" information in EVP Office, it is the user's decision what to deliver to our servers. The system itself can be used without disclosing any details, save the identifier of each security, the date it is to be evaluated for, and the type of evaluation. If identifying information is set, it is encrypted both in transit and at rest, and stored in perpetuity.

EVP Upload

EVP Upload, EVP Systems' file-based evaluation service, has the ability to record and store information about the decedent or estate being evaluated, though as with the "passthrough" information in EVP Office, it is the user's decision what to deliver to our servers. The system

itself can be used without disclosing any details, save the identifier of each security, the date it is to be evaluated for, and the type of evaluation. If identifying information is set, it is encrypted both in transit and at rest, and stored in perpetuity.

Professional Services

EVP Systems' mail-in evaluation service, Professional Services, collects information about decedents and estates in order to properly run the evaluations requested and produce the appropriate reports. After an evaluation is processed, this information is no longer used except for billing purposes at the end of the month of the request. Digital copies of the source information are kept as encrypted files in perpetuity. Paper copies are stored in locked filing cabinets, in a locked office, protected by armed security, and are destroyed after three years via cross-cut shredding at Security Level 3 standards.

Other Data

Finally, EVP Systems has information about its clients and their employees, potentially including names, titles, postal addresses, phone numbers and e-mail addresses. This information is only used to contact our clients for purposes of doing business, including sending invoices and upgrade notices.

If any personal information beyond what is absolutely required to conduct business (such as Social Security numbers, driver's license numbers, or other sensitive personal information) is ever sent to EVP Systems—for a decedent, estate, grantor, client or client's employee—it is company policy to alert the client and then destroy the information, as well as file an internal Awareness Notice. Please see the "Information Security Policy."

EVP Systems respects both the European General Data Protection Regulation (GDPR) and California Consumer Privacy Act (CCPA), does not sell or allow third-parties access to any of the client information it holds, and will provide or delete any of it upon request.

If you have any questions about how personal information is treated by EVP Systems, please contact our Privacy Department at (818) 313-6300 or privacy@evpsys.com.

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